



33815US  
Serial No. 09/435,113  
ATTN.: Box Missing Parts

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of: Max P. McDaniel, Joseph S. Shveima, Elizabeth A. Benham,  
Rolf L. Geerts and James L. Smith

Serial No.: 09/435,113

Group Art Unit: 1713

Filed: 11/05/99

Examiner: Not Assigned

For: POLYMERIZATION CATALYST SYSTEMS AND PROCESSES USING  
ALKYL LITHIUM COMPOUNDS AS A COCATALYST

**SUBMISSION OF MISSING PARTS OF APPLICATION**

Honorable Commissioner for Patents  
ATTN.: Box Missing Parts  
Washington, D.C. 20231

Sir:

In response to the Communication from the Application Branch dated December 8, 1999, a copy of which is attached, applicants submit the accompanying Declarations. The application was filed on November 5, 1999, by Express Mail and identified by attorney's docket number 33815US. This application has been given the Serial No. 09/435,113 by the Patent and Trademark Office.

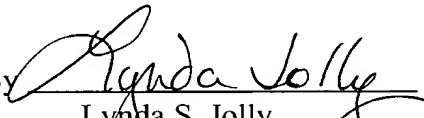
The Commissioner is hereby authorized to charge the appropriate surcharge under 37 C.F.R. 1.16(e) and any additional fees which may be required under 37 C.F.R. 1.16 or 37 C.F.R. 1.17, or credit any overpayment, to Deposit Account 16-1575, but is not authorized to charge any fee provided for under 37 C.F.R. 1.18.

This paper is also accompanied by an Assignment of the above-identified application.

It is respectfully requested that these papers be recorded and entered into  
the record.

Respectfully submitted

RICHMOND, HITCHCOCK,  
FISH & DOLLAR

By   
Lynda S. Jolly  
Registration No. 32,736

LSJ:cm

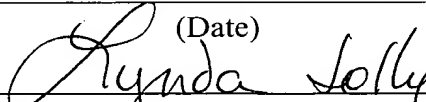
RICHMOND, HITCHCOCK,  
FISH & DOLLAR  
P.O. Box 2443  
Bartlesville, Oklahoma 74005  
1-918-661-0611

C E R T I F I C A T E   O F   M A I L I N G

I hereby certify that this correspondence is being deposited  
with the United States Postal Service as first class mail in  
an envelope addressed to: Assistant Commissioner for  
Patents, Washington, D.C. 20231, on

JAN 26 2000

(Date)

  
Lynda S. Jolly



**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/435,113

11/05/99

MCDANIEL

M

33815US

RICHMOND HITCHCOCK FISH AND DOLLAR  
P O BOX 2443  
BARTLESVILLE OK 74005

NOT ASSIGNED

DATE MAILED: 713

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
*Filing Date Granted*

12/08/99

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

- ☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$ \_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- ☐ 2. The following additional claims fees are due:

\$ \_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$ \_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

- ☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

- ☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

- ☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

- ☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

- ☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

- ☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

- ☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*ERASHA EVANS*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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01 FC:105 130.00 CH